

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: 2010-03-18 15:57:02

2. Agency: 011

3. Bureau: 10

4. Name of this Investment: FBI National Instant Criminal Background Check System (NICS)

5. Unique Project (Investment) Identifier: 011-10-01-03-01-2616-00

6. What kind of investment will this be in FY 2011?: Mixed Life Cycle

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. What was the first budget year this investment was submitted to OMB? *

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.

The NICS prevents the transfer of a firearm to persons who are prohibited from possessing or receiving a firearm while allowing the timely transfer to those individuals that are not prohibited. Title 18, Section 922 of the United States Code (USC), defines who is prohibited from shipping, transporting, possessing, or receiving any firearm or ammunition in or affecting commerce. The NICS was created through the collaborative efforts of the FBI; the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF); the Department of Justice (DOJ); local, state, and other federal law enforcement agencies; and private contractor support. The NICS Regulation, Title 28, Code of Federal Regulations (CFR), Part 25, Subpart A requires the NICS to provide Federal Firearms Licensees (FFLs) with an immediate response regarding the person for whom the receipt of a firearm would violate the USC. If the initial response is a "delay," the NICS is required to provide the FFLs with a final determination within three business days. The NICS Regulation provides the states with the option to act as a point of contact (POC) for NICS transactions and allows the FBI to serve as the POC in those states that have chosen not to perform the checks. The NICS Regulation required development of other electronic means of contact and the NICS E-Check was developed. This function enables the FFLs to initiate an unassisted NICS background check for firearm transfers via the Internet. When the FFLs conduct a NICS check, a name search is conducted for matching records in the following three databases: (1) the National Crime Information Center; (2) the Interstate Identification Index and (3) the NICS Index. During FY2007, the FBI Criminal Justice Information Services (CJIS) Division's NICS Section initiated an extensive Business Process Redesign study to seek opportunities to improve the NICS. On 08-13-2009, the FBI CJIS Division's NICS Section initiated a requirements study for the development of a new NICS that will encompass all internal and external processes, interfaces, and functionality required throughout the NICS community and will outline required modifications and improvements to the NICS to allow continued system operating capabilities.

- a. Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**

9. Did the Agency's Executive/Investment Committee approve this request? *

a. If "yes," what was the date of this approval? *

10. Contact information of Program/Project Manager?

- **Name:** *
- **Phone Number:** *
- **Email:** *

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? *

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): *

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
DJFA9G902095	Fixed Price Incentive	Y	2008-12-01	2008-12-01	2014-01-31	\$89.0	*	*	*	*	*
GS35F0287T	Firm-Fixed-Price	Y	2009-07-28	2009-08-10	2010-08-11	\$1.3	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? *

a. If "yes," what is the date? *

Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2003	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Achieve an Immediate Determination Rate of at least 90 percent or higher	77.32 percent	90 percent or higher	91.03 percent
2003	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Achieve System Availability of 98 percent or higher	This is a new measure for FY 2003.	98 percent or higher	99.25 percent
2003	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Increase the number of transactions processed by the NICS	As of 09/30/2002 over 8.8 million transactions were processed by the NICS.	1 percent increase per year	8.5 million
2003	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Increase storage capability for NICS Index Records	As of 09/30/2002 there were 2.8 million records in the NICS Index.	10 percent increase per year	3.2 million
2004	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Maintain an Immediate Determination Rate on Eligibility of at least 90 percent	91.03 percent	90 percent or higher	91.85 percent
2004	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Maintain System Availability of 98 percent or higher	99.25 percent	98 percent or higher	99.14 percent
2004	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Increase the number of transactions processed by the NICS	8.5 million	1 percent increase per year	8.6 million
2004	Prevent Crime, Enforce Federal Laws, and Represent the Rights and	*	*	Increase storage capability for NICS Index Records	3.2 million	10 percent increase per year	3.6 million

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Interests of the American People						
2005	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Maintain an Immediate Determination Rate on Eligibility of at least 90 percent	91.85 percent	90 percent or higher	91.45 percent
2005	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Maintain System Availability of 98 percent or higher	99.14 percent	98 percent or higher	99.00 percent
2005	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Increase the number of transactions processed by the NICS	8.6 million	1 percent increase per year	8.8 million
2005	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Increase storage capability for NICS Index Records	3.6 million	10 percent increase per year	3.9 million
2006	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Maintain an Immediate Determination Rate on Eligibility of at least 90 percent	91.45 percent	90 percent or higher	91.40 percent
2006	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Maintain System Availability of 98 percent or higher	99 percent	98 percent or higher	99.64 percent
2006	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Increase the number of transactions processed by the NICS	8.8 million	3 percent increase per year	9.7 million
2006	Prevent Crime, Enforce Federal Laws, and Represent the Rights and	*	*	Increase storage capability for NICS Index Records	3.9 million	10 percent increase per year	4.2 million

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Interests of the American People						
2007	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Maintain an Immediate Determination Rate on Eligibility of at least 90 percent	91.40 percent	90 percent or higher	91.63 percent
2007	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Maintain System Availability of 98 percent or higher	99.64 percent	98 percent or higher	99.92 percent
2007	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Increase the number of transactions processed by the NICS	9.7 million	3 percent increase per year	10.9 million
2007	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Increase storage capability for NICS Index Records	4.2 million	10 percent increase per year	4.7 million
2008	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Maintain an Immediate Determination Rate on Eligibility of at least 90 percent	91.63 percent	90 percent or higher	91%
2008	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Increase the number of states contributing to the NICS Index	This is a new measure for FY 2008. As of 9/30/07, there were 41 states contributing to the NICS Index.	At least 1 new state per year	5
2008	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Achieve a Customer Satisfaction rating above 95 percent	This is a new measure for FY 2008. As of 09/2007, the NICS had an overall Customer Satisfaction rating of 99 percent.	95 percent or higher	94%
2008	Prevent Crime, Enforce Federal Laws, and	*	*	Maintain System Availability of 98	System Availability for FY 2007 was	98 percent or higher	99%

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Represent the Rights and Interests of the American People			percent or higher	99.92 percent.		
2008	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Increase the number of new NICS E-check users by 5 percent over the previous year	This is a new measure in FY 2008. As of 9/30/07, there were 1,842 FFLs submitting NICS background checks via the NICS E-Check.	Increase by 5 percent over the previous year	24.6%
2008	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Achieve a Compliance Rate of processing transactions according to established procedures above 90 percent	This is a new measure in FY 2008. The Compliance Rate for FY 2007 was 98 percent.	Above 90 percent	98%
2008	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Implement at least 3 percent of the quick wins identified from the NICS Business Process Redesign Study	This is a new measure in FY 2008. The NICS identified a total of 27 Quick Wins that could be implemented from the NICS Business Process Redesign Study.	At least 3 percent	11%
2008	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Successfully test 20 percent of the NICS Contingency Plan components	This is a new measure in FY 2008. As of 09/30/07 the NICS Contingency Plan remains in draft.	20 percent tested successfully	100%
2008	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Increase the average number of transactions processed per NICS Examiner	This is a new measure in FY 2008. As of 9/30/07, the NICS Personal Production Average was 2.208 transactions per hour.	Increase by 2 percent	16.13%
2008	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Implement one or more information sharing initiatives per year	This is a new measure in FY 2008.	1 or more a year	4%
2008	Prevent Crime,	*	*	5 percent of	This is a new	5 percent	5%

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Enforce Federal Laws, and Represent the Rights and Interests of the American People			technical advancements tied to Strategic Objectives	measure in FY 2008.		
2009	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Maintain an Immediate Determination Rate on Eligibility of at least 90 percent	90 percent	90 percent or higher	91.88 percent
2009	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Increase the number of new NICS E-check users by 5 percent over the previous year	1857	Increase by 5 percent over the previous year	2193
2009	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Increase the collaboration and information sharing with customers, users, and external partners	TBD	1 or more per year	128 new ORI's
2009	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Maintain Compliancy Rate of processing transactions according to established procedures above 90 percent	98 percent	Above 90 percent	99.46 percent
2009	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Implement one or more information sharing initiatives per year	1	1 or more a year	3
2009	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Increase the number of Web services	10	1 or more a year	53
2010	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American	*	*	Maintain an Immediate Determination Rate on Eligibility of at least 90 percent	90 percent	90 percent or higher	TBD

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	People						
2010	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Increase the number of new NICS E-check users by 5 percent over the previous year	2193	Increase by 5 percent over the previous year	TBD
2010	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Maintain Compliancy Rate of processing transactions according to established procedures above 90 percent	98 percent	Above 90 percent	TBD
2010	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Implement one or more information sharing initiatives per year	3	1 or more per year	TBD
2010	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Increase the number of Web services	15	3 percent increase per year	TBD
2011	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Maintain an Immediate Determination Rate on Eligibility of at least 90 percent	TBD	90 percent or higher	TBD
2011	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Increase the number of new NICS E-check users by 5 percent over the previous year	TBD	Increase by 5 percent over the previous year	TBD
2011	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Maintain Compliancy Rate of processing transactions according to established procedures above 90 percent	TBD	Above 90 percent	TBD
2011	Prevent Crime, Enforce Federal Laws, and	*	*	Implement one or more information	TBD	1 or more per year	TBD

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Represent the Rights and Interests of the American People			sharing initiatives per year			
2011	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Increase system responsiveness	TBD	3 percent increase per year	TBD
2012	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Maintain an Immediate Determination Rate on Eligibility of at least 90 percent	TBD	90 percent or higher	TBD
2012	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Increase the number of new NICS E-check users by 5 percent over the previous year	TBD	Increase by 5 percent over the previous year	TBD
2012	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Maintain Compliancy Rate of processing transactions according to established procedures above 90 percent	TBD	Above 90 percent	TBD
2012	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Implement one or more information sharing initiatives per year	TBD	1 or more per year	TBD
2012	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Increase system responsiveness	TBD	3 percent increase per year	TBD
2013	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Maintain an Immediate Determination Rate on Eligibility of at least 90 percent	TBD	90 percent or higher	TBD
2013	Prevent Crime,	*	*	Increase the	TBD	Increase by 5	TBD

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Enforce Federal Laws, and Represent the Rights and Interests of the American People			number of new NICS E-check users by 5 percent over the previous year		percent over the previous year	
2013	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Maintain Compliance Rate of processing transactions according to established procedures above 90 percent	TBD	Above 90 percent	TBD
2013	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Implement one or more information sharing initiatives per year	TBD	1 or more per year	TBD
2013	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Increase system responsiveness	TBD	3 percent increase per year	TBD
2014	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Maintain an Immediate Determination Rate on Eligibility of at least 90 percent	TBD	90 percent or higher	TBD
2014	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Increase the number of new NICS E-check users by 5 percent over the previous year	TBD	Increase by 5 percent over the previous year	TBD
2014	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Maintain Compliance Rate of processing transactions according to established procedures above 90 percent	TBD	Above 90 percent	TBD
2014	Prevent Crime, Enforce Federal Laws, and Represent the Rights and	*	*	Implement one or more information sharing initiatives per	TBD	1 or more per year	TBD

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
Interests of the American People		year					
2014	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Increase system responsiveness	TBD	3 percent increase per year	TBD
2015	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Maintain an Immediate Determination Rate on Eligibility of at least 90 percent	TBD	90 percent or higher	TBD
2015	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Increase the number of new NICS E-Check users by 5 percent over the previous year	TBD	Increase by 5 percent over the previous year	TBD
2015	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Maintain Compliancy Rate of processing transactions according to established procedures above 90 percent	TBD	Above 90 percent	TBD
2015	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Implement one or more information sharing initiatives per year	TBD	1 or more per year	TBD
2015	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	*	*	Increase system responsiveness	TBD	3 percent increase per year	TBD

Part II: Planning, Acquisition And Performance Information

Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
O&M 2013	*	*	2012-10-01		2013-09-29		0.00%	0.00%
Upgrades to Hardware and Software for System Availability Improvements	\$11.2	\$11.2	2002-10-01	2002-10-01	2003-09-30	2003-09-30	100.00%	100.00%
Concept Exploration - NICS Business Process Redesign Study	\$1.0	\$1.0	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
III/NCIC Rehost	\$5.0	\$4.9	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%
O&M 2012	*	*	2011-10-01		2012-09-29		0.00%	0.00%
O&M 2011	*	*	2010-10-01		2011-09-29		0.00%	0.00%
Project Management Office	*	*	2013-10-01		2014-09-29		0.00%	0.00%
O&M 2004	\$7.5	\$7.5	2003-10-01	2003-10-01	2004-09-30	2004-09-30	100.00%	100.00%
FTE	\$42.0	\$42.0	2002-10-01	2002-10-01	2003-09-30	2003-09-30	100.00%	100.00%
O&M (2002 and earlier)	\$12.0	\$12.0	1998-10-01	1998-10-01	2002-09-30	2002-09-30	100.00%	100.00%
DME (2002 and earlier)	\$67.5	\$67.5	1998-10-01	1998-10-01	2002-09-30	2002-09-30	100.00%	100.00%
NICS Initiative Development Efforts	\$1.2	\$5.3	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
O&M 2006	\$7.4	\$7.4	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
O&M 2005	\$6.9	\$6.9	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%
PC Client System Efficiencies Upgrade - Phase I	\$3.3	\$0.1	2003-10-01	2003-10-01	2004-09-30	2004-09-30	100.00%	100.00%
O&M 2015	*	*	2014-10-01		2015-09-29		0.00%	0.00%
Project Management Office	\$1.6	\$0.1	2009-10-01	2009-10-01	2010-09-29		80.00%	80.00%
O&M 2008	\$4.8	\$4.4	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
FTE	\$47.7	\$47.7	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FTE	\$46.3	\$46.3	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%
Enterprise Storage Area Network - Design and Implementation	\$5.8	\$9.0	2003-10-01	2003-10-01	2004-09-30	2004-09-30	100.00%	100.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
n								
Project Management Office	*	*	2011-10-01		2012-09-29		0.00%	0.00%
FTE	\$42.6	\$42.6	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
Security Upgrades	\$1.7	\$1.7	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FTE	\$133.4	\$133.4	1998-10-01	1998-10-01	2002-09-30	2002-09-30	100.00%	100.00%
O&M 2014	*	*	2013-10-01		2014-09-29		0.00%	0.00%
O&M 2010	\$11.7	\$10.3	2009-10-01	2009-10-01	2010-09-29		71.00%	71.00%
Project Management Office	*	*	2014-10-01		2015-09-29		0.00%	0.00%
Project Management Office	*	*	2010-10-01		2011-09-29		0.00%	0.00%
Project Management Office	\$0.9	\$0.9	2008-10-01	2008-10-01	2009-09-29	2009-09-30	100.00%	100.00%
FTE	\$2.7	\$2.7	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
Support Services	\$1.0	\$1.0	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
O&M 2009	\$9.6	\$9.6	2008-10-01	2008-10-01	2009-09-29	2009-09-30	100.00%	100.00%
Automated Appeals Management Database	\$0.4	\$1.7	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
O&M 2007	\$7.8	\$7.8	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
Interim Disaster Recovery	\$0.5	\$0.5	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
Upgrades to Hardware and Software for System Availability Improvements	\$0.8	\$0.8	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FTE	\$46.0	\$46.0	2003-10-01	2003-10-01	2004-09-30	2004-09-30	100.00%	100.00%
O&M 2003	\$7.1	\$7.1	2002-10-01	2002-10-01	2003-09-30	2003-09-30	100.00%	100.00%
Project Management Office	*	*	2012-10-01		2013-09-29		0.00%	0.00%
NICS Requirements Analysis Study	\$1.3	\$1.0	2009-09-30	2009-08-13	2010-09-29		72.00%	72.00%
Architecture Planning Support Services	*	*	2012-10-01		2013-09-30		0.00%	0.00%
System Development Support	*	*	2013-10-01		2014-09-30		0.00%	0.00%
System Development	\$2.3	\$1.8	2009-10-01	2009-10-01	2010-09-30		75.00%	75.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Support								
Architecture Planning Support Services	*	*	2010-10-01		2011-09-30		0.00%	0.00%
System Development Support	*	*	2015-10-01		2016-09-30		0.00%	0.00%
System Development Support	*	*	2012-10-01		2013-09-30		0.00%	0.00%
Architecture Planning Support Services	\$0.3	\$0.0	2009-10-01	2009-10-01	2010-09-30		3.00%	3.00%
Architecture Planning Support Services	*	*	2014-10-01		2015-09-30		0.00%	0.00%
Architecture Planning Support Services	*	*	2011-10-01		2012-09-30		0.00%	0.00%
O&M 2016	*	*	2015-10-01		2016-09-30		0.00%	0.00%
Project Management Office	*	*	2015-10-01		2016-09-30		0.00%	0.00%
Architecture Planning Support Services	*	*	2015-10-01		2016-09-30		0.00%	0.00%
System Development Support	*	*	2011-10-01		2012-09-30		0.00%	0.00%
Architecture Planning Support Services	*	*	2013-10-01		2014-09-30		0.00%	0.00%
System Development Support	*	*	2014-10-01		2015-09-30		0.00%	0.00%
System Development Support	*	*	2010-10-01		2011-09-30		0.00%	0.00%

* - Indicates data is redacted.